



SECTOR

PATENT

Attorney Docket No. 47004.000056

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

John CARNAHAN, et al.

Serial No.: 09/552,879

Filed: April 20, 2000

For: SYSTEM AND METHOD FOR DYNAMIC,
MULTIVARIABLE COMPARISON OF FINANCIAL PRODUCTS

Group Art Unit: 2771

Examiner: To Be Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**



21967

PATENT TRADEMARK OFFICE

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Nonprovisional Application" mailed June 26, 2000, Applicant is submitting herewith an executed Declaration for filing in the cited patent application, along with the required fee for filing of the basic application and late filing of the Declaration. Accordingly, it is respectfully requested that a filing date of April 20, 2000 be granted to this application.

Also enclosed is an executed Assignment document and Assignment Recordation cover sheet. The Assignment Recordation fee of \$40.00 is enclosed in the attached check.

Attorney Docket No. 47004.000056
Application Serial No. 09/552,879

A check in the amount of \$170.00 is enclosed for the Late Declaration filing and Assignment recordation. In the event any variance exists between the amount enclosed and the PTO charges, please charge or credit any difference to Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By: Scott D. Balderston
Scott D. Balderston
Registration No. 35,436

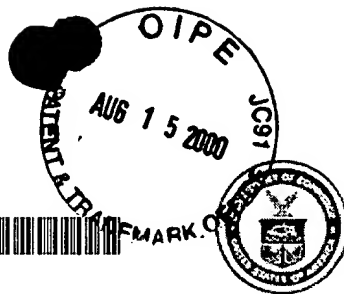
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Dated: August 15, 2000

FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/552,879	04/20/2000	John Carnahan	47004.000056

Scott D Balderston Esq
Hunton & Williams
1900 K Street NW
Suite 1200
Washington, DC 20006

Date Mailed: 06/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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